



**HAWAII STATE ETHICS COMMISSION  
DISCLOSURE OF FINANCIAL INTERESTS (FORM D-201)**

<b>HAWAII STATE ETHICS COMMISSION</b> 1001 Bishop Street, Pacific Tower Suite 970 P.O. Box 616 Honolulu, Hawaii 96809 Telephone: 587-0460 Fax: 587-0470 email: <a href="mailto:ethics@ethics.state.hi.us">ethics@ethics.state.hi.us</a>		<div style="text-align: right;">Rev. 12/01</div> For Office Use Only DATE REC'D: 04/14/2003 FILE NO. 03-D-11221  Taxation	
<b>IMPORTANT: Please read instructions carefully before filling out this form.</b>			
FULL NAME (Last, First, Middle) <b>KAWAFUCHI, KURT KENJI</b>		SPOUSE'S FULL NAME (Last, First, Middle)	
DEPENDENT CHILDREN'S FULL NAMES (Last, First, Middle) <b>None</b>			
RESIDENCE ADDRESS <div style="background-color: black; height: 1.2em; width: 100%;"></div>			
MAILING ADDRESS <b>830 Punchbowl Street, Honolulu, Hawaii 96813</b>			
BUSINESS TELEPHONE <b>587-1510</b>		STATE DEPARTMENT/DIVISION OR BOARD/COMMISSION <b>Department of Taxation</b>	
RESIDENCE TELEPHONE <div style="background-color: black; height: 1.2em; width: 100%;"></div>		STATE POSITION HELD <b>Director, Department of Taxation</b>	TERM OF OFFICE: Begin: 2/2003 End: <b>Current</b>

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F,SP,DC,JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
	University of Hawaii Accounting 407Taxation of Business Entities Accounting 631Taxation of Partners and Partnerships Account 638 Federal Estate &Gift Taxation and Planning	\$4,341- \$4,400 (less paid to co-instructors) per course	Instructor
	Hawaii Pacific University Finance 3000 Business Finance Finance 6100 International Finance Finance 6530/Accounting 3390	\$2,300 - \$3,468 (less amount paid to co-instructors) per course	Instructor

☐ Check here if entry is None

☐ Check here if additional sheets are attached

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP, DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
	Because I have worked for 17 years, I am single, and saved money. I have mutual funds/money market accts at the following brokerage houses- mostly acquired prior to joining the state: Morgan Stanley AXA Advisors (formerly Equitable Life) Salomon Smith Barney  457 Plan Mutual Funds AG Edward Stock in Microsoft, AOL, CMGI, HP, CISCO Hi-Tech Stock		Money Market Mutual Funds Mutual Funds/IRA from private law firm Mutual Funds Mutual Funds 15,000	60,000 40,000 40,000 rollover 20,000 35,000
<input type="checkbox"/> Check here if entry is None <span style="float: right;"><input type="checkbox"/> Check here if additional sheets are attached</span>				

**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER
	Sold approx 35 shares of CMGI Purchased for \$98 and sold for \$.93	12/02
<input type="checkbox"/> Check here if entry is None <span style="float: right;"><input type="checkbox"/> Check here if additional sheets are attached</span>		

**ITEM 4: CREDITORS**

List the name and address of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
	None		
<input type="checkbox"/> Check here if entry is None <span style="float: right;"><input type="checkbox"/> Check here if additional sheets are attached</span>			

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
	Chair – Hawaii Society of CPAs	Chair, Tax Committee	7/99-Current	None
	UCLA Annual Tax Controversy	Director	7/01-Current	None
	Chaminade Hawaii Tax Institute	Advisory Board Member	2001-Current	None
	University of Hawaii at Manoa Manoa-School of Accountancy	Advisory Board Member	2001-Current	None

☐ Check here if entry is None

☐ Check here if additional sheets are attached
**ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE**

List interests in real property in the State, held during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
	Trustee of Deceased Mother's Trust (Grace S. Kawafuchi Family Trust) The trust owns ½ interest in each property	1 1 3 012 020 1 3 3 015 089	Approx value of ½ interest 250,000 250,000

☐ Check here if entry is None

☐ Check here if additional sheets are attached
**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED**

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
	None		

☐ Check here if entry is None

☐ Check here if additional sheets are attached

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED**

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP,DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION
	None		

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
None	RECEIVED 03 APR 17 A9:47 STATE OF HAWAII STATE ETHICS COMMISSION

☐ Check here if entry is None☐ Check here if additional sheets are attached**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F,SP,DC,JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE
	None			

☐ Check here if entry is None☐ Check here if additional sheets are attached

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

SIGNATURE

DATE